

# The effect of growth time and oxygen flow on the properties of electrochromic WO<sub>3</sub> thin layers grown by LPCVD

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## Abstract

Results are presented regarding the development of functional electrochromic WO<sub>3</sub> thin layers, using a simple, one step and fast process without the need of template or seed layers or even post-annealing, factors favoring large scale industrial deposition. In particular, low pressure chemical vapor deposition (LPCVD) was employed to develop  $\gamma$ -monoclinic WO<sub>3</sub> crystalline phase of granular agglomerations structure, with a thickness from 60 nm up to 160 nm onto FTO coated glass substrates. The effect of growth time and oxygen flow on the structural, morphological and electrochemical properties of WO<sub>3</sub> thin layers was investigated. It was found that a deposition time of 15min and an O<sub>2</sub> flow rate through the reactor of 0.1 l/min result in a more stable behavior during the interchange charge circles. Copyright © 2018 VBRI Press.

**Keywords:**  $\gamma$ -monoclinic WO<sub>3</sub>; electrochemical response.

## Introduction

Electrochromic windows have attracted a lot of interest since they can offer controlled modulation of the transmission in a broad spectral range. Compared with other chromic materials, such as photochromic and thermochromic windows, the development of electrochromic windows is complex requiring full device configuration and electric supply, while, at the same time, they must meet particular requirements for architectural window applications. In general, an electrochromic window requires transparent conductors, electrolyte, and charge storage materials, they should be highly transparent to visible light at the bleached state, and must have appropriate refractive index to minimize reflections. In addition, practical applications require solid-state electrolytes, such as polymer ion gels or ion-conducting ceramics, in order to avoid problems coming from the use of liquid electrolytes, like leaks, fire, or even deformation/breaking of the glass, especially for large window applications.

The mostly used electrochromic devices are based on a thin-film battery-type configuration. A layer of electrochromic material (i.e. metal oxide) is deposited on a Transparent Conductive Electrode (TCO) working electrode, while a charge storage layer is deposited onto

a TCO counter electrode. These two electrodes are joined by a layer serving as an ion-conducting electrolyte and separator. Such a device, which is initially transparent, becomes dark when ions are inserted into the electrochromic layer, and it bleaches when the ions are extracted, the magnitude of the color change directly depended on the amount of the inserted charge. These devices exhibit open circuit memory and can maintain a colored state for an extended period of time.

Transition metal oxides are normally quite effective as electrochromic layer in electrochromic devices, especially if one considers their capability to present considerable variations in stoichiometry, and the ease in their deposition in form of thin films, which is required for device manufacturing. Tungsten oxide (WO<sub>3</sub>) has been extensively investigated due to its appreciable electrochromic properties in the visible and infrared region, exhibiting large optical modulation, good durability, low power consumption, less stress for the viewer's eyes, and relatively low price [1]. Electrochromic WO<sub>3</sub> thin films have been deposited by a large number of techniques, including thermal evaporation, electrodeposition, spray pyrolysis, chemical vapor deposition, electron beam evaporation, magnetron sputtering, sol-gel etc. [2 - 13]. Among these techniques, chemical vapor deposition (CVD) can offer

ease control on the properties of the films, through the growth conditions, can be easily integrated to float-glass lines and offers fast deposition rate.

In this work, we employed a simple, one step and fast process without the use of template or seed layers or even post-annealing, factors favoring large scale industrial deposition, for the growth of  $\gamma$ -monoclinic  $\text{WO}_3$  layers on fluorine doped tin dioxide (FTO) glass substrates, exhibiting significant electrochemical behavior. The influence of the deposition time and the oxygen flow, at a constant temperature, on the basic characteristics as well as on the electrochemical response of the  $\text{WO}_3$  layers was examined, in a trial to optimize their performance in smart window applications.

## Experimental conditions

### Materials

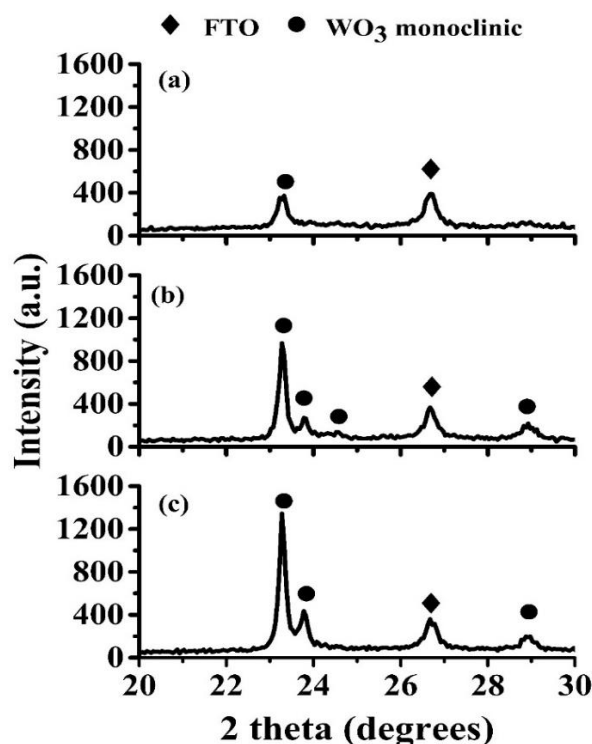
The  $\text{WO}_3$  layers were grown onto FTO coated glass substrates by LPCVD, the respective procedure described in details elsewhere [14,15]. For the scopes of this work, the following conditions were employed: (i) precursor tungsten hexacarbonyl ( $\text{W}(\text{CO})_6$ ), (ii) substrate temperature  $530^\circ\text{C}$ , (iii) flow of  $\text{N}_2$  carrier gas 0.05 liters/min, (iv) deposition times 10, 15 and 20 minutes and (v) flows of  $\text{O}_2$  within the reactor 0.05, 0.075 and 0.1 liters/min. These deposition parameters resulted in layer thicknesses of about 60 up to 160 nm.

### Characterization

Structural analysis was performed in a Siemens D5000 Diffractometer using operating conditions:  $\text{CuK}\alpha$  ( $\lambda = 1.54056 \text{ \AA}$ ),  $2\theta = 20.0\text{-}50.0^\circ$ , step time  $60 \text{ s}^\circ$  and a Nicolet Almega XR micro-Raman system for a range of  $100\text{-}1000 \text{ cm}^{-1}$  and a laser line at  $473 \text{ nm}$ . The morphology of the samples was examined in a Jeol JSM-7000F field-emission scanning electron microscope (FE-SEM) at an operating voltage of  $15 \text{ kV}$ . All samples were over-coated with a thin of gold prior to FE-SEM analysis to avoid charging. Electrochemical measurements were performed using a three-electrode cell as reported previously [14-17]. The measurements were accomplished using a scan rate of  $10 \text{ mV s}^{-1}$  through the voltage range of  $-1000 \text{ mV}$  to  $+1000 \text{ mV}$ . The area of the working electrode (working electrode refers to the  $\text{WO}_3$  layer grown on FTO) exposed to the electrolyte was  $1 \text{ cm}^2$ . The lithium ion intercalation/de-intercalation process with respect to time was also studied using chronoamperometry at  $-1000 \text{ mV}$  and  $+1000 \text{ mV}$  for a step of  $200 \text{ s}$  and a total time period of  $2000 \text{ s}$ .

## Results and discussion

Initially,  $\text{WO}_3$  samples were deposited for various growth times, keeping the deposition temperature constant at  $530^\circ\text{C}$ , a value chosen following previous studies, and an oxygen flow of 0.1 liters/min. All as-grown  $\text{WO}_3$  layers were transparent and adherent passing the Scotch tape test (removal of an X shaped piece of sticking tape [18]).



**Fig. 1.** XRD patterns of  $\text{WO}_3$  layers grown by LPCVD for: (a) 10, (b) 15 and (c) 20 min.

**Fig. 1** shows the X-ray diffraction (XRD) patterns of  $\text{WO}_3$  layers grown for 10, 15 and 20 minutes onto FTO coated glass substrates. As shown in Fig. 1a, for a growth time of 10 min, a characteristic peaks at  $23.3^\circ$  of the  $\gamma$ -monoclinic  $\text{WO}_3$  crystalline phase, corresponding to (002) crystalline orientation, is observed [19-21]. Longer deposition times (**Fig. 1b and 1c**) lead to additional contributions at  $23.8^\circ$ ,  $24.6^\circ$  and  $29^\circ$  coming from the (020), (200) and (112) crystalline orientations of the same  $\gamma$ -monoclinic crystalline phase. Moreover, as the deposition time increases, the peaks become more intense and narrow, indicating an improvement of crystallinity. At the same time, the higher intensity of the (002) peak in the XRD spectrum suggests a preferential orientation perpendicular to the thin film plane, i.e. along the c-axis. Finally, in all XRD patterns, the reflection due to the FTO glass substrate at  $26.5^\circ$  with respective Miller indices (110) was also observed [22]. Missing peaks of  $\gamma$ -monoclinic crystalline phase may be attributed to the fact that layers have a thickness around 100nm.

At room temperature,  $\gamma$ - monoclinic  $\text{WO}_3$  is the most common and stable phase, consisting of planar arrays of corner-sharing  $\text{WO}_6$  octahedra, placed perpendicular to the [001] hexagonal axis and held together along the z axis by Van de Waal's forces. In this structural configuration, the site of the missing cation B (compared to a perovskite-like atomic configuration with the ideal  $\text{ABO}_3$  cubic crystal structure) is empty and easily accessible through tunnels present between the octahedra, thus allowing for ionic transport and intercalation within the structure in case of an external force and leading in a layer with excellent electrochromic response.

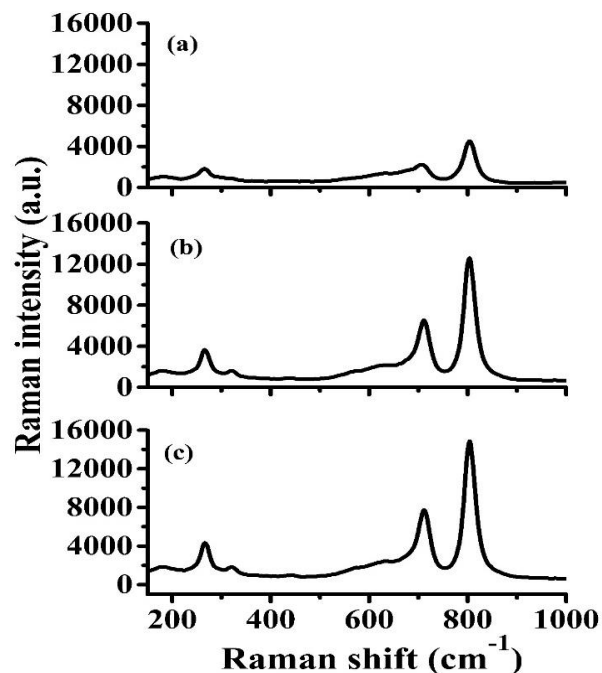


Fig. 2. Raman spectra of  $\text{WO}_3$  layers grown by LPCVD for: (a) 10, (b) 15 and (c) 20 min.

Raman spectroscopy also confirmed the presence of monoclinic  $\text{WO}_3$  phase for the layers grown for times  $\geq 10$  min [23]. Fig. 2 displays the Raman spectra of  $\text{WO}_3$  for the three samples discussed earlier. Raman peaks at the frequencies of 269 and 323  $\text{cm}^{-1}$  are assigned the W-O-W bending modes of bridging oxide ions [23], while the W-O-W stretching mode (tungsten oxide network) corresponds to the high frequency Raman peaks at 713 and 806  $\text{cm}^{-1}$  [24]. Increasing deposition time was observed to result in both stronger and narrower peaks, another evidence of the improvement of the crystallinity.

FE-SEM analysis of the as-grown  $\text{WO}_3$  layers is shown in Fig. 3. In all cases, the layers showed a granular structure packed in agglomerations of grains. As the growth time increases and the film crystallinity is improved, a decrease of grains size within the agglomerations can be observed, the surface presenting at the same time a more porous appearance. For a deposition time of 20 min or larger, the morphology of the grains was changed, these presenting a flower-like appearance. The 3D morphology is expected to be quite effective regarding electrochromic applications, since this presents more available places for the intercalation and the de-intercalation of Li ions [25,26].

In order to study the effect of the growth time, and the subsequent structural and morphological characteristics, on the electrochemical performance of the as-grown  $\text{WO}_3$  layers, cyclic voltammogram curves were collected using a scan rate of 10  $\text{mV s}^{-1}$  and 1 M  $\text{LiClO}_4/\text{propylene carbonate}$  as electrolyte and are presented in Figs. 4a, 4b and 4c. All curves are normalized to the geometric area of the working electrode, resulting in units of  $\mu\text{A cm}^{-2}$ . The as grown  $\text{WO}_3$  layers were found to be transparent, while, after the

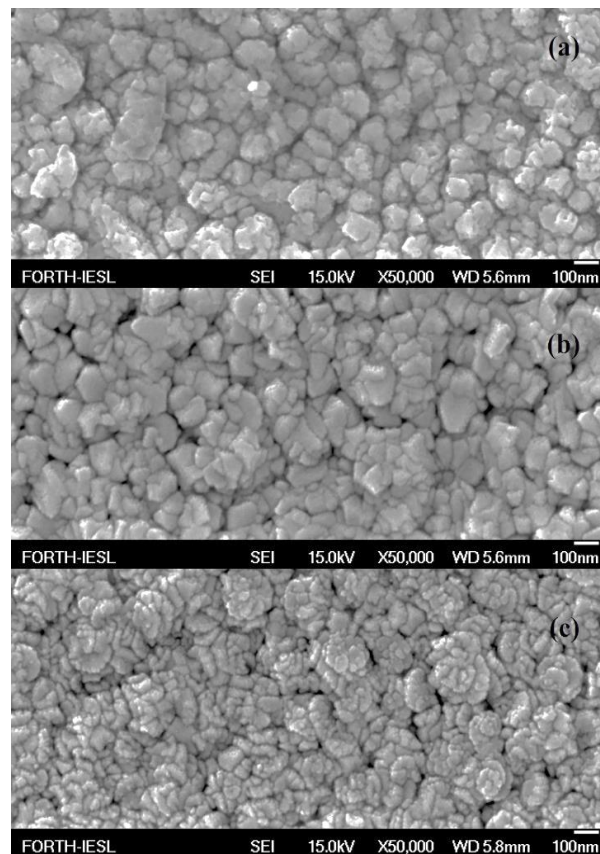
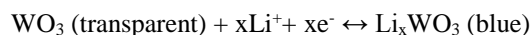


Fig. 3. FE-SEM images of  $\text{WO}_3$  layers grown by LPCVD for: (a) 10, (b) 15 and (c) 20 min.

intercalation of the Li ions, the color was changed to blue. The layers were becoming again transparent after the de-intercalation of the Li ions, except in some cases where they remained slightly colored, especially after many cycles of intercalation/de-intercalation of Li ions, since some ions were remaining within the  $\text{WO}_3$  lattice [27, 28]. This color - bleach process can be represented according to the following equation [29]:

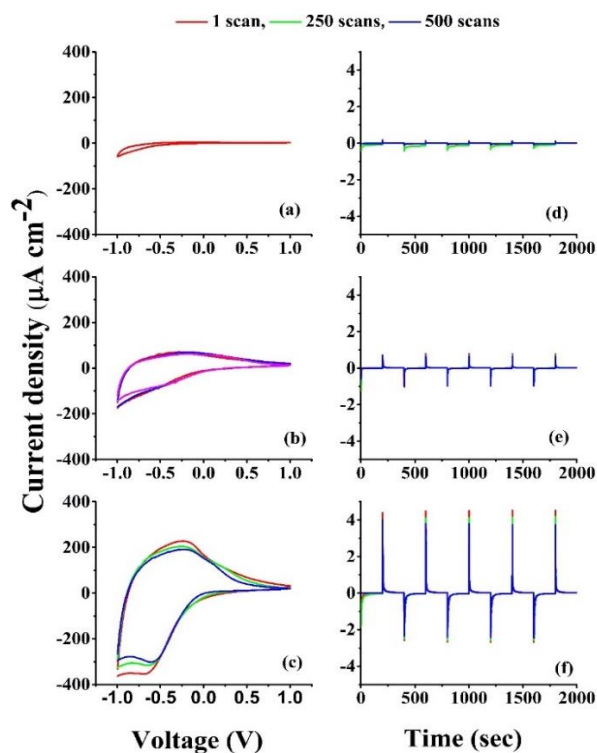


The results shown in Fig. 4, can be summarized as following:

- the samples grown for 10 min present quite low current and charge, these being significantly reduced after the first scan, due to the dissolution and the fast degradation of the respective layer (Fig 4a);
- the samples grown for 15 (Fig. 4b) and 20 min (Fig. 4c) were found to be more stable for up to 500 cycles, presenting however degradation at some extend, indicated by a change in the shape of the curve and a shift of the maxima. This behavior can be attributed to the non-reversibility of the process, leading in permanent presence of Li ions within the  $\text{WO}_3$  lattice. The sample grown for 15 min appeared to have the best performance, regarding the stability of response, while the higher current/charge was found in the 20 min sample. The maxima appeared around -0,5 V are attributed to the intercalation and

the de-intercalation of the Li<sup>+</sup> ions, followed by the gain or the loss of an electron. Moreover, after the de-intercalation of the Li<sup>+</sup> ions, the samples were becoming again transparent, at least in the case of up to 250 cycles, while, for even more cycles, some samples remained slightly colored, due to the remaining of Li ions within the WO<sub>3</sub> lattice, as mentioned earlier.

Chronoamperometry tests were also conducted for layers grown for different growth times, switching the voltage between -1000 mV and +1000 mV at an interval of 200 s, the respective curves shown in **Figs. 4d-4f**. The samples grown for 10 min were found to present a significant reduction of the current density with increasing cycle number, as expected following the voltammograms, while the 15 and 20 min samples exhibit a more stable behavior. The respective current density was found to present a rather small reduction up to the 500<sup>th</sup> cycle, especially in the case of the sample grown for 15 min, which appeared to have a better stability.



**Fig. 4.** Cyclic voltammograms of WO<sub>3</sub> layers grown by LPCVD for: (a) 10, (b) 15 and (c) 20 min, collected using a scan rate of 10 mV s<sup>-1</sup> and 1 M LiClO<sub>4</sub>/propylene carbonate as electrolyte. Chronoamperometry curves of WO<sub>3</sub> layers grown by LPCVD for: (d) 10, (e) 15 and (f) 20 min, switching the voltage between -1 V and +1 V at an interval of 200 s.

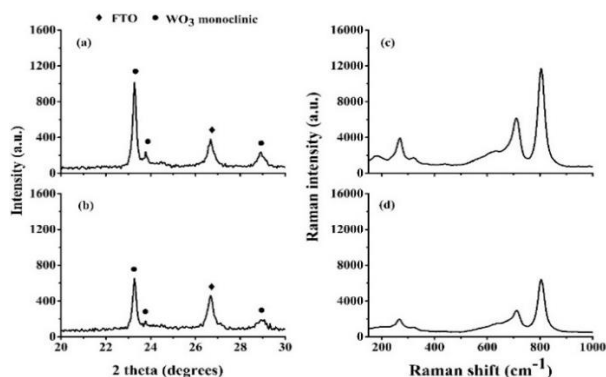
Then, the amount of Li<sup>+</sup> charge interchanged between the layers and the electrolyte was calculated by integration of excess current densities measured for the 1<sup>st</sup>, the 250<sup>th</sup> and the 500<sup>th</sup> cycles, the respective results shown in **Table 1**, together with the intercalation and de-intercalation times. As can be seen, the sample grown for 20 min presents the best performance, regarding the interchanged charge. In all cases, a difference exists

between intercalation and de-intercalation charge, attributed to the small number of ions remaining within the WO<sub>3</sub> lattice. This difference is less significant for the samples grown for 15 and 20 min (27% and 25% respectively), being quite large for the 10 min sample (48%). Moreover, with increasing cycle number, the intercalation and de-intercalation charge appeared to be decreased due to the ageing of the samples, the ageing being less pronounced for the 15 and the 20 min samples. Regarding the intercalation and de-intercalation times, the faster response times were observed in the sample grown for 15 min for all recorded cycles, the 10 and 20 min samples exhibiting similar response times, at least for the 1<sup>st</sup> cycle. With increasing number of cycles, the 10 min samples appeared to have much slower response, in contrast to the 20 min sample, where the response was becoming faster. Comparing the results of **Table 1**, one can conclude that the WO<sub>3</sub> sample grown for 15 min presents an optimum response, as compared to the other grown samples, although in the 20 min sample, the interchanged charge is much larger.

**Table 1.** Li<sup>+</sup> charge interchanged between the layers and the electrolyte measured for the 1<sup>st</sup>, the 250<sup>th</sup> and the 500<sup>th</sup> cycles and the respective intercalation and de-intercalation times for samples grown for different time periods.

No of cycles	Parameter	10 min	15 min	20 min
1	Intercalation charge (mC cm <sup>-2</sup> )	3.98	6.50	27.77
	De-intercalation charge (mC cm <sup>-2</sup> )	2.08	4.72	18.18
	Intercalation time (sec)	23	15	25
	De-intercalation time (sec)	20	14	19
250	Intercalation charge (mC cm <sup>-2</sup> )	2.13	4.26	21.76
	De-intercalation charge (mC cm <sup>-2</sup> )	0.51	3.17	13.14
	Intercalation time (sec)	55	11	21
	De-intercalation time (sec)	26	10	7
500	Intercalation charge (mC cm <sup>-2</sup> )	0.85	3.87	19.28
	De-intercalation charge (mC cm <sup>-2</sup> )	0.29	2.87	12.49
	Intercalation time (sec)	37	11	18
	De-intercalation time (sec)	23	9	8

The observed behavior seems to be consistent with that already reported in the literature [25,26], since better crystallinity and more porous surface morphology lead to faster and larger Li<sup>+</sup> charge interchange as well as better stability of the electrochemical response with increasing number of intercalation and de-intercalation cycles. However, following our results, it seems that when the morphology becomes too porous, although the interchanged charge becomes maximum, the response time is slower and the stability becomes less favorable.



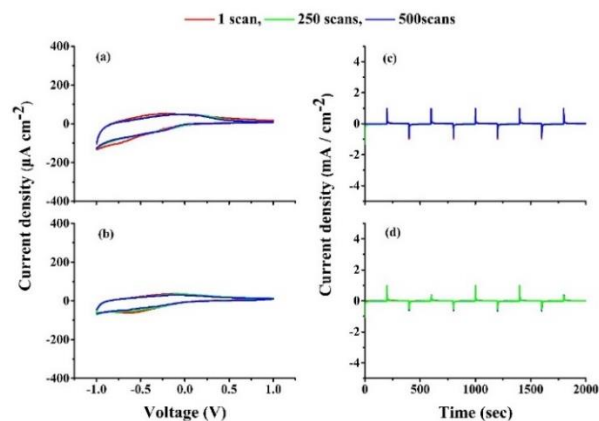
**Fig. 5.** XRD patterns of WO<sub>3</sub> layers grown by LPCVD for an oxygen flow of: (a) 0.05 and (b) 0.075 l/min. Raman spectra of WO<sub>3</sub> layers grown by LPCVD for an oxygen flow of: (c) 0.05 and (d) 0.075 l/min.

As a next step, another set of WO<sub>3</sub> layers was deposited, employing various oxygen flows, keeping the same deposition temperature of 530°C and a deposition time of 15 min, since this corresponds to the more stable response, for the experimental conditions employed. **Figs. 5a** and **5b** present the X-ray diffraction (XRD) patterns of WO<sub>3</sub> layers grown with an oxygen flow of 0.05 and 0.075 l/min onto clean FTO coated glass substrates, the respective pattern of the sample grown with 0.1 l/min shown in **Fig. 1a**. Comparing these results, one can see that decreasing oxygen flow results in a similar XRD pattern, as far as it concerns the positions of the peaks. However, the intensity of the peaks is reduced and these become broader, an indication that the crystallinity is reduced. Similar conclusion can be obtained from the Raman spectra of the samples grown with an oxygen flow of 0.05 and 0.075 l/min, shown in **Figs 5c** and **5d**, where, although the patterns are similar to those recorded for the sample grown with 0.1 l/sec (shown in **Fig. 2a**), their intensity is reduced and they are broader. Regarding an increase of the oxygen flow to values above 0.1 l/s, this did not improve further the crystallinity of the layers. Regarding the FE-SEM analysis of the WO<sub>3</sub> layers, these also present a granular structure packed in agglomerations of grains. As the oxygen flow reduces and the film crystallinity is decreased, the layers become smoother, their 3D morphology disappearing.

Cyclic voltammogram curves were then collected using a scan rate of 10 mV s<sup>-1</sup> and 1 M LiClO<sub>4</sub>/propylene carbonate as electrolyte and are presented in **Figs. 6a** and **6b**, for the samples grown with an oxygen flow of 0.05 and 0.075 l/min. All of the curves are normalized to the geometric area of the working electrode, resulting in units of μA cm<sup>-2</sup>. The WO<sub>3</sub> layers were found to exhibit similar response to that recorded for an oxygen flow of 0.1 l/min (**Fig. 4a**), the response however being weaker and less stable. Chronoamperometry tests were also conducted, switching the voltage between -1000 mV and +1000 mV at an interval of 200 s, the respective curves shown in **Figs. 6c** and **6d**. As the number of cycle was increasing, the current density was found decreasing, the decrease being more pronounced for smaller oxygen flows.

**Table 2.** Li<sup>+</sup> charge interchanged between the layers and the electrolyte measured for the 1<sup>st</sup>, the 250<sup>th</sup> and the 500<sup>th</sup> cycles and the respective intercalation and de-intercalation times for samples grown for different oxygen flow rates.

No of cycles	Parameter	0.05 l/min	0.075 l/min	0.1 l/min
1	Intercalation charge (mC cm <sup>-2</sup> )	4.69	6.88	6.50
	De-intercalation charge (mC cm <sup>-2</sup> )	3.56	4.57	4.72
	Intercalation time (sec)	21	22	15
	De-intercalation time (sec)	20	18	14
250	Intercalation charge (mC cm <sup>-2</sup> )	3.24	4.90	4.26
	De-intercalation charge (mC cm <sup>-2</sup> )	2.85	3.73	3.17
	Intercalation time (sec)	19	16	11
	De-intercalation time (sec)	12	12	10
500	Intercalation charge (mC cm <sup>-2</sup> )	3.44	3.27	3.87
	De-intercalation charge (mC cm <sup>-2</sup> )	2.20	2.84	2.87
	Intercalation time (sec)	14	14	11
	De-intercalation time (sec)	12	9	9



**Fig. 6.** Cyclic voltammograms of WO<sub>3</sub> layers grown by LPCVD for an oxygen flow of: (a) 0.05 and (b) 0.075 l/min, collected using a scan rate of 10 mV s<sup>-1</sup> and 1 M LiClO<sub>4</sub>/propylene carbonate as electrolyte. Chronoamperometry curves for an oxygen flow of: (a) 0.05 and (b) 0.075 l/min, switching the voltage between -1 V and +1 V at an interval of 200 s.

Finally, the amount of Li<sup>+</sup> charge interchanged between the layers and the electrolyte was calculated for the 1<sup>st</sup>, the 250<sup>th</sup> and the 500<sup>th</sup> cycles, the respective results shown in **Table 2** together with the intercalation and de-intercalation times. As can be seen, all values are worse than those recorded for the sample grown with 0.1 l/min. Therefore, the WO<sub>3</sub> sample grown for 15 min with an oxygen flow of 0.1 l/min presents an optimum

response. For larger oxygen flows, the recorded response was found to be similar to that of the 0.1 l/min case.

Comparing our results with others in the recent literature [5,9,27], one can see the charge density and the coloration/bleaching times of our WO<sub>3</sub> layers, deposited using a simple, one step and fast process without the employment of template or seed layers or even post-annealing, is comparable with others made using more sophisticated routes such as sputtering, their stability during the cycles being quite good.

## Conclusions

In this work, the effect of growth time and oxygen flow rate on the structural, morphological and electrochemical characteristics of WO<sub>3</sub> thin layers grown by low pressure chemical deposition was investigated. It was found that layers of  $\gamma$ -monoclinic WO<sub>3</sub> crystalline phase, having granular agglomerations structure with thickness from 60 nm to 160 nm can be obtained at a 530°C substrate temperature, for deposition times of 10-20 minutes and oxygen flow rates of 0.05-0.1 l/s. With increasing growth time, the crystallinity was improved and a more porous surface was induced, leading in an improvement of the electrochemical response. Moreover, low oxygen flow rates resulted in less crystalline layers exhibiting less effective electrochemical response. Optimum, fast and stable electrochemical response was found for a deposition time of 15 min and an oxygen flow rate of 0.1 l/s.

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